

IN THE UNITED STATES DISTRICT COURT
FOR THE EASTERN DISTRICT OF CALIFORNIA

CROMAN CORPORATION,)
) 2:05-cv-0575-GEB-JFM
Plaintiff,)
)
v.) ORDER^{*}
)
GENERAL ELECTRIC COMPANY, et al.,)
)
Defendants.)
_____)

Defendants General Electric ("GE"), Rotair Industries ("Rotair"), Sikorsky Aircraft Corporation, Helicopter Support, Inc. and United Technologies Corporation, (collectively "Sikorsky")¹ move for summary judgment or summary adjudication on Plaintiff's claims. Plaintiff opposes the motions.²

^{*} This motion was determined to be suitable for decision without oral argument. L.R. 78-230(h).

¹ Hereinafter, "Defendants" refers to all five Defendants.

² Plaintiff moves to strike Sikorsky's Reply, Objection to Evidence, Response to Plaintiff's Separate Statement of Facts and Declaration of Garry L. Montanari, (collectively "Reply") on the grounds that these documents were untimely filed under Local Rule 78-230(d). (Pl.'s Mem. in Support of Mot. to Strike at 2.) The hearing date was set for September 18, 2006. Defendant Sikorsky's Reply was due on September 11, 2006, but was filed on Wednesday, September 13, 2006.

(continued...)

BACKGROUND

Plaintiff is an Oregon corporation based in White City, Oregon. (Pl.'s Second Amended Complaint ("SAC") ¶ 3.) This action is the result of a helicopter crash that occurred in the Shasta National Recreational Area, California on March 26, 2002. (SAC ¶ 12.) At the time of the crash, the helicopter, a Sikorsky model S-61A, Registration No. NJ318Y, owned and operated by Plaintiff, was performing heli-logging. (Pl.'s Resp. to Sikorsky's Undisputed Facts 1, 8, 9 at 2, 4-5.)

The Defendants are GE, who designed and manufactured the turbine engines and other component parts that were on the helicopter when it crashed; Sikorsky Aircraft Corporation and United Technologies Corporation, who designed and manufactured the helicopter; Helicopter Support, Inc., who supplied replacement parts manufactured by itself and others for the helicopter; and Rotair, who supplied parts manufactured by itself and others for the Input Freewheeling Units of the helicopter ("IFWUs"). (SAC ¶¶ 6-10.)

Plaintiff alleges the helicopter crash was caused by Defendants' "failure to design, manufacture, assemble, inspect, test, repair, market, supply, and introduce a safe product into the stream of commerce." (Id. ¶ 12.) Plaintiff also alleges Defendants "failed to warn (pre-sale and post-sale) the owners and operators of the helicopter, and the [Federal Aviation Administration ("FAA")], of

²(...continued)

However, since the Court issued an Order cancelling the September 18th hearing, Plaintiff did not suffer any prejudice with regards to the delayed filings. Accordingly, Plaintiff's motion to strike is denied.

Sikorsky and Rotair move to strike the Declaration of Gregory Williams. Mr. Williams' testimony is not pertinent to the issues decided. Therefore, Sikorsky and Rotair's motion to strike is not reached.

dangerous and defective conditions with the helicopter" and that these defects caused the accident. (Id.) Plaintiff filed a Complaint on March 24, 2005, asserting causes of action against Defendants for strict products liability, negligence and breach of express and implied warranties.³ Defendants move for summary judgment on all three causes of action.

DISCUSSION

I. GARA Analysis

A. "General Aviation Aircraft"

GE and Sikorsky assert Plaintiff's claims are barred by a federal statute of repose, the General Aviation Revitalization Act of 1994 ("GARA") 49 U.S.C. § 40101, note (Pub.L. 103-298, August 17, 1994, 108 Stat. 1552, as amended Pub.L. 105-102, § 3(e), November 20, 1997, 111 Stat. 2216).⁴ (Sikorsky's Mot. at 8; GE's Mot. at 4.)

Under GARA:

[N]o civil action for damages for death or injury to persons or damage to property arising out of an accident involving a general aviation aircraft may be brought against the manufacturer of the aircraft or the manufacturer of any new component, system, subassembly, or other part of the aircraft, in its capacity as a manufacturer if the accident occurred-

(1) after the applicable limitation period beginning on-

(a) the date of delivery of the aircraft to its first purchaser or lessee, if delivered directly from the manufacturer; or

(b) the date of first delivery of the aircraft to a person

³ Plaintiff has agreed to dismiss its breach of implied warranty claim against General Electric. (Pl.'s Opp'n to GE at 6.) Therefore, this claim is dismissed.

⁴ Sikorsky's motion under GARA will be reached only as to claims against them in their capacities as manufacturers.

1 engaged in the business of
2 selling or leasing such
3 aircraft;
4 or
5 (2) with respect to any new component,
6 system, subassembly, or other part which
7 replaced another component, system,
8 subassembly, or other part originally
in, or which was added to, the aircraft,
and which is alleged to have caused such
death, injury, or damage, after the
applicable limitations period beginning
on the date of completion of the
replacement or addition.
GARA, § 2.

9 For GARA purposes, the "applicable limitation period" is "18
10 years with respect to general aviation aircraft and the components,
11 systems, subassemblies, and other parts of such aircraft." GARA,
12 § 3(3). "General aviation aircraft" is "any aircraft for which a type
13 certificate or an airworthiness certificate has been issued by the
14 Administrator of the Federal Aviation Administration, which, at the
15 time such certificate was originally issued, had a maximum seating
16 capacity of fewer than 20 passengers, and which was not, at the time
17 of the accident, engaged in scheduled passenger-carry
18 operations" GARA, § 2(c).

19 For GARA to apply, GE and Sikorsky must show the helicopter
20 and its parts were delivered to its first purchaser prior to March 26,
21 1984, more than 18 years before the date of the accident. It is
22 undisputed that the helicopter was delivered to its first purchaser,
23 the joint ownership of Commonwealth Electric Company, Donavan
24 Construction Company and Columbia Helicopters ("Commonwealth Electric
25 Company"), on June 27, 1967. (Pl.'s Resp. to Sikorsky's Undisputed
26 Facts 13 at 6.) It is also undisputed that the engine in question was
27 manufactured by GE in 1968 and was purchased by Plaintiff in 1977.
28 (Pl.'s Resp. to GE's Undisputed Facts 8 at 4; Pl.'s Opp'n to GE at

1 15.) Therefore, the subject helicopter and its parts were delivered
2 more than 18 years before the accident.

3 GE and Sikorsky must also show the helicopter qualifies as a
4 "general aviation aircraft," meaning its maximum seating capacity was
5 less than twenty at the time an FAA airworthiness certificate or type
6 certificate was originally issued and that the helicopter was not
7 engaged in scheduled passenger-carry operations at the time of the
8 accident. GARA, § 2(c). It is undisputed that at the time of the
9 accident the helicopter was engaged in heli-logging; it was not then
10 engaged in passenger-carry operations. (Pl.'s Resp. to GE's
11 Undisputed Facts 6, 7 at 4.)

12 The parties dispute which FAA airworthiness certificate is
13 relevant to the determination of whether the maximum seating capacity
14 was less than twenty. On July 3, 1967, Commonwealth Electric Company
15 filed an application with the FAA for an airworthiness certificate in
16 the "restricted" category, which was subsequently received that same
17 year. (Pl.'s Resp. to GE's Undisputed Facts 3 at 3.) On its face,
18 the certificate states the aircraft airworthiness classification is
19 "restricted" and directs the reader to "[s]ee reverse side."
20 (Sikorsky Ex. E.) The reverse side explicitly states "Special
21 Purpose: Transportation of cargo in the furtherance of operators' or
22 lessees' business only." (Id.) Since the aircraft could only
23 transport cargo, passengers were not permitted on the aircraft.
24 Furthermore, the FAA's "Operating Limitations" for the "Restricted
25 Category Aircraft" states in paragraph 5: "Persons other than the
26 minimum crew necessary for the [special purpose] operation shall not
27 be carried during these operations." (Sikorsky Decl. Ex. F, ¶ 5.)

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Plaintiff counters that the only FAA certificate relevant to whether the helicopter is a "general aviation aircraft" is the helicopter's first airworthiness certificate, issued in 1962, in the "experimental" category. (Pl.'s Opp'n to GE at 14; Pl.'s Opp'n to Sikorsky at 19.) GARA does not support Plaintiff's contention that "maximum seating capacity is determined at the time the aircraft received its first airworthiness or type certificate." (Pl.'s Opp'n to Sikorsky at 19 (emphasis added); see also Pl.'s Opp'n to GE at 14.) Instead, "'general aviation aircraft' means any aircraft for which a type certificate or an airworthiness certificate has been issued by . . . [the FAA], which, at the time such certificate was originally issued, had a maximum seating capacity of fewer than 20 passengers" GARA § 2(c). (emphasis added).

The definition of "original" "as related to the issuance of airworthiness certificates" refers to a situation, where, like the instant case, a subsequent airworthiness certificate was issued to the same aircraft in another classification. FAA Order 8130.2F. "Airworthiness Certification of Aircraft and Related Products" prescribes: "The term 'original certificate' applies . . . for the following: . . . Aircraft that previously have been issued an airworthiness certificate and presented for certification in another category or classification, for example, aircraft converted from standard to restricted for the first time or from special airworthiness certificate to standard for the first time." (Sikorsky Ex. D, FAA Order 8130.2F, ¶ 35(a)(3).) Therefore, the 1967 restricted airworthiness certificate is the relevant airworthiness certificate for determination of whether the accident helicopter was a "general aviation aircraft" under GARA § 2(c).

1 The parties also dispute the relevance of the fact that the
2 aircraft's type certificate is silent with regard to the number of
3 passengers. (Harting Decl. Ex. A.) The helicopter's Type Certificate
4 No. H2EA explicitly permits a maximum crew of two (a pilot and co-
5 pilot) and is silent regarding the number of passengers. (Id.) GE
6 and Sikorsky argue the type certificate is silent on this issue
7 because the transportation of passengers was simply not contemplated,
8 as evidenced by both the helicopter's "experimental" and "restricted"
9 category airworthiness certificates. (GE's Reply at 4 (stating "the
10 S-61A type certificate is silent regarding passengers because it was
11 classified in the 'experimental' or 'restricted' category which by FAA
12 regulation precludes the carrying of passengers"); Sikorsky's Reply at
13 7 (stating "[i]t is redundant and needless to state the maximum number
14 of passengers is zero").)

15 Plaintiff responds by analogizing to type certificates of
16 other aircrafts, such as those of helicopter model number S-61L, S-61N
17 and S-61R. (Pl.'s Opp'n to GE at 14-15.) These type certificates
18 either explicitly state the maximum passenger seating capacity and
19 minimum crew or, in the case of helicopter S-61R, expressly provide
20 that the maximum seating capacity is "none." (Harting Decl. Ex. B,
21 Type Certificates for models S-61L, S-61N and S-61R.) Plaintiff
22 argues that "[h]ad the FAA or Sikorsky intended to limit the number of
23 passengers on an S-61A to zero they could have easily done so in the
24 same manner as they did for the S-61R." (Pl.'s Opp'n to GE at 15.)
25 GE and Sikorsky rejoin that the aircrafts with type certificates that
26 explicitly stated the maximum seating capacity were classified in the
27 "transport" category, whereas the subject helicopter was classified in
28 the restricted category. (GE's Reply at 4; Sikorsky's Reply at 7.)

1 As a "restricted" category aircraft, the subject helicopter was only
2 allowed to carry cargo, even though the type certificate was silent
3 regarding the maximum number of passengers; thus, transportation of
4 passengers was simply not contemplated. The type certificates for
5 other aircrafts in the "restricted" category are also silent regarding
6 the maximum seating capacity and instead, only provide instructions
7 regarding the maximum number of crew. (See Harting Decl. Ex. A, Type
8 Certificates for models S-61D, S-61E and S-61V.)

9 Plaintiff argues that an entry in the helicopter's 1967
10 maintenance logbook, which provided that the aircraft had installed
11 "18 man troop seat[s]," is evidence that the helicopter had a maximum
12 seating capacity of twenty passengers when the pilot and co-pilot are
13 included. (Pl.'s Opp'n to Sikorsky at 21-22.) However, the pilot and
14 co-pilot seats are not passenger seats. Various provisions of the FAA
15 regulations explicitly exclude pilot and co-pilot seats from the
16 definition of the term "passenger seating." See e.g., 14 C.F.R. §
17 135.77(a); § 29.807(d)(1) and (2); § 29.813(c)(1) and (2); and §
18 91.531(a)(3). "Regular passenger seating capacity" is the "maximum
19 number of seats that have at any time on or prior to the date of the
20 flight been on the aircraft," but "[w]hen determining the regular
21 passenger seating capacity of an aircraft, any seat occupied by a
22 member of the flight crew . . . shall not be counted, unless the
23 purpose of the flight by such individual is not primarily to serve as
24 a member of the flight crew." 26 C.F.R. § 1.61-21 (g)(12)(iii) and
25 (v).

26 Furthermore, Corpus Juris Secundum, CJS § 7 (Aeronautics &
27 Aerospace), defines "passenger" as "any person riding in an aircraft
28 but having no part in its operation" Accordingly, the pilot

1 and co-pilot are not "passengers" and thus even if seats for 18 troops
2 were installed on the subject helicopter, it would still have a
3 "maximum seating capacity of fewer than 20 passengers." GARA, § 2(c).
4 Since the aircraft's "restricted" airworthiness certificate either did
5 not permit the transportation of passengers onboard, or those
6 passengers permitted were fewer than 20, the subject helicopter is a
7 "general aviation aircraft" as defined in § 2(c) of GARA. Therefore,
8 GARA applies to this case.

9 Plaintiff argues that GE is not entitled to GARA protection
10 because the GE engine that allegedly caused the accident was first
11 installed on one of Croman's helicopters that could not be classified
12 as a "general aviation aircraft." (Pl.'s Opp'n to GE at 15.) The
13 subject engine was initially purchased in connection with Croman's
14 first S-61 helicopter which was type certified for a maximum seating
15 capacity of thirty-nine passengers. (Id.) Additionally, Plaintiff
16 argues that the fuel manifold, the engine component at issue, was also
17 originally installed on a non-general aviation aircraft. (Id. at 16.)
18 Plaintiff argues that GE therefore "cannot acquire GARA protection by
19 virtue of subsequent installation on some other aircraft since . . .
20 GARA ties its definition of 'general aviation aircraft' and thus the
21 18-year limitation period, to the maximum seating capacity of the
22 aircraft at 'the time such certificate was originally issued.' Here,
23 that type certificate is the type certificate for the aircraft for
24 which the engine and fuel manifold were originally sold." (Id. at
25 17.)

26 Plaintiff does not cite any authority for this position. GE
27 counters that "[a] GARA analysis does not concern the status of the
28 aircraft or its components at the time the aircraft was first

1 delivered, but rather concerns the aircraft's status at the time of
2 the accident." (GE's Mot. at 9 (citing Kennedy v. Bell Helicopter
3 Textron, Inc., 283 F.3d 1107, 1112 (9th Cir. 2002)).) Kennedy
4 supports GE's position. In Kennedy, the issue was whether GARA was
5 triggered when the accident helicopter was delivered to the Navy, its
6 first purchaser, more than 18 years before the accident date, or when
7 it received its first type and airworthiness certificates, which was
8 less than 18 years before the accident. 283 F.3d at 1112. Since the
9 helicopter was first a military aircraft, it was not required to have
10 any such certification. Id. The Ninth Circuit stated that "the plain
11 language of GARA . . . supports [the defendant's] position that the
12 limitations period is triggered by the initial delivery of the
13 aircraft, even if the aircraft cannot be considered a general aviation
14 aircraft at that time." Id. Likewise, the limitation period for GE's
15 engine and fuel manifold is also triggered by their initial delivery
16 to the purchaser, even if the aircraft in which they were first
17 installed was not considered a general aviation aircraft.

18 "Under GARA, an aircraft cannot fulfill the definition of
19 general aviation aircraft until an accident occurs because one
20 condition which must be met in order for an aircraft to qualify as a
21 general aviation aircraft is that it 'was not, at the time of the
22 accident, engaged in scheduled passenger-carrying operations as
23 defined under [Federal Aviation Act regulations].'" Id. Therefore,
24 the relevant focus under GARA when determining whether an aircraft
25 meets the definition of a "general aviation aircraft" is the accident
26 aircraft; not other aircrafts in which the engine or other components
27 were previously installed.

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1 B. Failure to Warn

2 Plaintiff argues that Defendants failed to warn "the owners
3 and operators of the helicopter, and the FAA, of the dangerous and
4 defective conditions with the helicopter." (SAC ¶ 12.) GE and
5 Sikorsky respond that these claims are barred by GARA. (GE's Mot. at
6 10; Sikorsky's Mot. at 15.)

7 Plaintiff argues that GE did not warn of the failures of the
8 stainless steel fuel manifold, a part of the allegedly defective
9 engine that was in the accident helicopter. (Pl.'s Opp'n to GE at
10 19.) The accident helicopter was still equipped with the stainless
11 steel fuel manifold, even though GE had designed a new manifold made
12 out of Inconel 625, which has "a higher tolerance for stress and [is]
13 more resistant to cracking." (Id. at 20 (citing GE's Mot. at 4).)
14 Plaintiff contends that even though the new Inconel 625 fuel manifold
15 was "promptly incorporated into all of the military T58 engines using
16 the same style manifold[,] it had not been made mandatory for
17 civilian operators prior to the accident, and civilian repair shops
18 were not "notified of the design change or the reason for it." (Id.)
19 Further, Plaintiff argues that after the accident, GE issued a Service
20 Bulletin in which it "notified the FAA and advised all commercial
21 civilian operators of the need to change to the Inconel 625
22 manifolds." (Id.)

23 GE responds that as of 1993, Plaintiff was aware that there
24 had been a number of fuel manifold failures in both commercial and
25 military fleets and that GE had introduced the new Inconel 625 fuel
26 manifold. (GE's Reply at 9-10.) Plaintiff rejoins that the
27 information it had acquired was inadequate. (Pl.'s Opp'n to GE at
28 22.)

1 Failure to warn claims are usually barred by GARA.
2 "Congress has clearly occupied the field in this area and GARA bars
3 claims based on a breach of a manufacturer's duty to warn"
4 Burroughs v. Precision Automotive Corp., 78 Cal. App. 4th 681, 699
5 (2000). Plaintiff's failure to warn theory of liability is unavailing
6 since it amounts to an assertion that GE breached "an alleged
7 continuing duty to upgrade and update." Lyon v. Agusta S.P.A., 252
8 F.3d 1078, 1088 (9th Cir. 2001). However, as the Ninth Circuit stated
9 in Lyon: "[w]ere that so, GARA would have little value to
10 manufacturers because the plaintiff could always argue that an 18-year
11 period commenced if the manufacturer did nothing at all, while
12 simultaneously arguing that if the manufacturer did something that,
13 too, would start a new 18-year period running. That is not the
14 law"
15 Id. Accordingly, GE has shown that, as a matter of law,
16 Plaintiff's failure to warn claim is barred by GARA.

17 Sikorsky also argues that GARA bars Plaintiff's claim that
18 Sikorsky knew or should have known that the IFWUs, a component of the
19 main gearbox, would not work and that they failed to issue any warning
20 to Plaintiff or the FAA. (SAC ¶ 12; Sikorsky's Mot. at 15.) This
21 failure to warn theory of liability "does not allow [Plaintiff] to
22 bypass the GARA bar." Lyon, 252 F.3d at 1088. Therefore, this claim
23 is also barred by GARA.

24 C. Misrepresentation or Concealment Exception to GARA

25 Plaintiff argues its claims against GE are exempt from GARA
26 under the statute's misrepresentation or concealment exception.
27 (Pl.'s Opp'n to GE at 23.) GARA § 2(b)(1) states that GARA's statute
28 of repose does not apply:

1 if the claimant pleads with specificity the facts
2 necessary to prove, and proves, that the
3 manufacturer with respect to a type certificate or
4 airworthiness certificate for, or obligations with
5 respect to continuing airworthiness of, an
6 aircraft or a component, system, subassembly, or
7 other part of an aircraft knowingly misrepresented
8 to the Federal Aviation Administration, or
9 concealed or withheld from the Federal Aviation
10 Administration, required information that is
11 material and relevant to the performance or the
12 maintenance or operation of such an aircraft, or
13 the component, system, subassembly, or other part,
14 that is causally related to the harm which the
15 claimant allegedly suffered.

16 GE counters that "plaintiff has never pled in its twice
17 amended complaint a cause of action for misrepresentation or
18 concealment of information from the FAA or any specific facts
19 supporting such a claim; in fact, plaintiff never even hinted that
20 such contentions were at issue. Plaintiff has thus not pled with
21 specificity as required by GARA § 2(b)." (Def. GE's Reply at 11.)
22 Plaintiff raises the misrepresentation or concealment issue for the
23 first time in its opposition to GE's motion for summary judgment.
24 (See Pl.'s Opp'n to GE at 23.)

25 "[P]laintiffs seeking to toll the statute of limitations on
26 various grounds must have included the allegation in their pleadings;
27 this rule applies even where the tolling argument is raised in
28 opposition to summary judgment." Wasco Products, Inc. v. Southwall
Technologies, Inc., 435 F.3d 989, 991 (9th Cir. 2006) (citation
omitted). GARA's requirement that claims of misrepresentation or
concealment be pled with particularity "is an obvious analog to
Federal Rule of Civil Procedure 9(b) which requires that parties plead
fraud 'with particularity.'" Rickert v. Mitsubshi Heavy Industries,
Ltd., 923 F. Supp. 1453, 1456 (D. Wyo. 1996).

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Plaintiff has not pled in its Complaint facts giving rise to a claim of misrepresentation or concealment as required by § 2(b) of GARA. This claim could have been pled in Plaintiff's Second Amended Complaint since Plaintiff was on notice of the GARA defense pled in GE's Answer. Plaintiff cannot raise this tolling issue at this stage of the proceeding without showing that "good cause" justifies allowing amendment of its Complaint. "The pretrial Status Conference Order [filed August 1, 2005,] preclude[s] [Plaintiff] from raising [this] new theory of relief at the summary judgment stage." Eagle v. American Tel. & Tel. Co., 769 F.2d 541, 548 (9th Cir. 1985). The Order provides that "[n]o further . . . amendments to pleadings is permitted except with leave of Court, good cause having been shown." (Status (Pretrial Scheduling) Order at 2.) Therefore, Plaintiff's claims against GE and Sikorsky, as manufacturers, are barred under GARA.

II. Causation

Rotair and Helicopter Support, Inc. ("HSI")⁵ challenge the sufficiency of physical evidence on which Plaintiff relies as support for its causation theory. (Rotair's Mot. at 11; Sikorsky's Mot. at 19.) A plaintiff must prove causation in strict liability just as in negligence liability. Thomas v. Lusk, 27 Cal. App. 4th 1709, 1717 n.3 (1994) (citing Garman v. Magic Chef, Inc., 117 Cal. App. 3d 634, 638 (1981)). Additionally, "[i]n a breach of warranty action, plaintiff may recover only after demonstrating that a warranty existed, that defendant breached that warranty and that the breach proximately

⁵ Since HSI is sued as both a supplier and a manufacturer, the claims against it as a supplier must be reached.

1 caused the loss sustained." Pisano v. American Leasing, 146 Cal. App.
2 3d 194, 198 (1983).

3 Plaintiff contends that the helicopter crashed as a result
4 of the failure of the right engine's fuel manifold, which caused a
5 fire and a total loss of power in that engine. (Pl.'s Reply to
6 Sikorsky's Undisputed Facts 12, 14 at 27.) Plaintiff further contends
7 that as a result, the main rotor system's load was transferred to the
8 remaining engine. (Id. 30 at 22.) Plaintiff argues "after the
9 failure of the No. 2 (right) engine, one or more rollers in the No. 1
10 IFWU slipped or spit out, causing the left engine to overspeed and
11 shut down."⁶ (Pl.'s Opp'n to Sikorsky at 10.) Plaintiff asserts that
12 HSI and Rotair manufactured and supplied defective components for the
13 IFWU. (SAC ¶¶ 7-8, 10.)

14 Plaintiff cites his expert George E. Heath's ("Heath")
15 Investigation Report when commenting on the evidence remaining after
16 the crash:

17 Because the post-crash fire left all the components of
18 the IFWU heavily contaminated with an oxide layer, and
19 other fire-related debris, very aggressive cleaning
20 processes were employed by Sikorsky during the NTSB
21 [National Transportation Safety Board] investigation.
This cleaning process for the camshaft, rollers, roller
retainers, and gear housing could have removed metal
and caused the loss of spit-out evidence.

22 (Pl.'s Opp'n to Sikorsky at 32 (citing Heath's Investigation Report).)
23 Nevertheless, Heath maintains the spit-out "remains the most probable
24 explanation for the loss of the #1 engine power" (Id. at 32,
25 Montanari Decl. Ex. N.) When Heath was asked about this explanation

26
27 ⁶ An IFWU is a component of the helicopter's model main gear box
28 ("MGB"). (Sikorsky's Mot. at 3.) It is "an overrunning clutch
mechanism that allows an engine to engage or disengage from the main
rotor." (Id.)

1 at his deposition, he stated that the only physical evidence that
2 supports the spit-out theory is oilite contamination. Heath's
3 deposition testimony concerning this explanation follows. "Other than
4 what you are claiming to be oilite debris, was there any physical
5 evidence of spit-out or slippage in this case?" Heath's response:
6 "Nothing remaining." (Rosen Decl. Ex. D.)

7 Heath's theory is that the alleged IFWU failure is most
8 likely attributed to the IFWU oilites "which have been implicated in
9 previous IFWU failures." (Kallet Decl. Ex. B.) However, Heath's
10 analysis regarding the oilites is inconclusive. He opined that
11 "physical evidence shows melting on the edge of the oilite supports
12 and oilite deposit on the contact face of the roller retainer," and
13 that "the general appearance of the oilite material under low power
14 optical microscope examination is abnormally porous." (Rosen Decl.
15 Ex. D.) However, Heath was unable to conclude whether the abnormal
16 porosity is due to "incipient melting from fire damage" or
17 "nonconformity of material" or "both." (Id.) Accordingly, Heath
18 conceded that further testing would be needed to conclusively
19 establish the cause of the oilite damage. Heath was asked "[w]hat
20 further testing or analysis would have to be done to determine whether
21 [the abnormal porosity] was due to melting or material nonconformity?"
22 Heath replied: "Well, there's been no destructive testing of the
23 oilites done to date by anyone. And I think there would be a
24 sectioning required, mounting an examination, doing material analysis
25 of the interior section of it that hasn't been exposed, and possibly
26 some other physical or chemical tests to see if it's conforming."
27 (Rosen Decl. Ex. D.)

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1 Plaintiff also argues that Heath's employer, R.J. Waldron &
2 Co. Ltd., "has investigated eight S-61 accidents, including this one,
3 since 1993. Six of these accidents are either known or suspected to
4 be caused by IFWU failures." (Pl.'s Opp'n to Sikorsky at 32 (quoting
5 Heath's report).) In addition, "[t]wo previous S-61 accidents are
6 known to have been caused by both input freewheel units failing
7 approximately simultaneously" and "[t]he general circumstances and the
8 flight profile of this accident are substantially similar to the other
9 IFWU caused accidents." (Id.) Heath states that in his experience,
10 "IFWU failure is the leading cause of S-61 loss of engine power, and
11 the most probable cause of complete loss of power in this case."
12 (Id.)

13 "Under California law, tort plaintiffs cannot recover if
14 there is only a mere possibility that defendant's actions caused the
15 wrong." Beech Aircraft Corp. V. United States, 51 F.3d 834, 838 (9th
16 Cir. 1995). Furthermore, "a 'possible cause only becomes probable'
17 when, in the absence of other reasonable causal explanations, it
18 becomes more likely than not that the injury was a result of its
19 action" Id. (quoting Simmons v. West Covina Medical Clinic,
20 212 Cal. App. 3d 696 (1989)). In this case, Plaintiff's evidence only
21 amounts to "a mere possibility that [Defendants'] actions caused the
22 wrong." (Id.) The evidence presented by Plaintiff's expert regarding
23 the oilites is not sufficient to establish that it was the probable
24 cause of the accident given the presence of another reasonable causal
25 explanation; in particular, the fact that the "abnormal porosity" of
26 these oilites could have been caused by the fire and not a defect in
27 the part itself. (See Rosen Decl. Ex. D.)

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1 Furthermore, Plaintiff's evidence regarding IFWU failures in
2 previous accidents involving S-61 helicopters is not sufficient to
3 buttress its argument that the accident in this case was caused by
4 IFWU failure. Plaintiff has not shown how "the general circumstances
5 and flight profile of this accident are substantially similar to the
6 other IFWU caused accidents." (Id.) In addition, Heath confirmed
7 that he had never seen or known "of suspected failure of freewheel
8 units in a 23,000 series gearbox," the MGB on the subject helicopter.
9 (Montanari Decl. Ex. C.)

10 Expert opinions must be based on a "sufficient quantum or
11 quality" of evidence to create a genuine issue of material fact on the
12 question of causation; in particular, that a defect existed in the
13 IFWU that caused the accident. Triton Energy Corp. V. Square D Co.,
14 68 F.3d 1216, 1222 (9th Cir. 1995). Plaintiff's evidence
15 does not satisfy this requirement.⁷ "At best its evidence merely
16 suggests this is a . . . possibility." Id. at 1221.

17 Conclusion

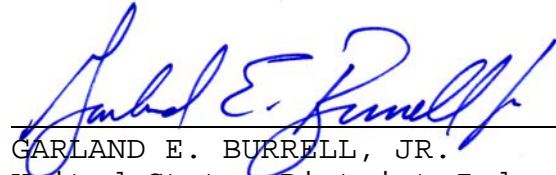
18 For the stated reasons, GARA bars Plaintiff's claims against
19 GE and Sikorsky. Further, a genuine issue of material fact does not
20 exist requiring trial on the issue of whether a defect in a component
21 part allegedly supplied by either Defendant Rotair or HSI caused the
22

23 ⁷ Plaintiff also argues there is "evidence that the left IFWU
24 rollers were not in compliance with design specifications, both as to
25 size and hardness." (Pl.'s Opp'n to Rotair at 21.) The testimony on
26 which Plaintiff relies is inconclusive as to whether any Defendants were
27 responsible for a defect in the rollers. (Rosen Decl. Ex. H.)
28 Furthermore, Heath stated that "[t]he fact that the rollers were all
found to be under dimension could be the result of the cleaning
process." (Pl.'s Opp'n to Sikorsky at 32 (citing Heath's Investigation
Report).) Finally, it has not been shown how these alleged defects
caused the accident. (Rosen Decl. Ex. H.)

1 accident. Therefore, summary judgment is entered in favor of
2 Defendants. The Clerk of Court is directed to enter judgment for
3 Defendants.

4 IT IS SO ORDERED.

5 DATED: November 2, 2006

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8 GARLAND E. BURRELL, JR.
United States District Judge
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